ADVIK CAPITAL LTD.

(A BSE Listed Company)

CIN: L65100DL1985PLC022505

Web: www.advikcapital.in

Email.: info@advikcapital.com

Tel.: +91-9289119981

May 05, 2026

Listing Compliance Department
BSE Limited
Phirozee Jeejeebhoy
Towers, Dalal Street, Fort,
Mumbai - 400 001

Scrip Code: 539773

Sub: Submission of Annual Secretarial Compliance Report under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2026

Dear Sir/Ma'am,

Please find enclosed herewith the Annual Secretarial Compliance Report pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Year ended March 31, 2026.

You are requested to take the same on your records.

Please disseminate this information on your official website and oblige.

Thanking You,

Yours faithfully,

For **Advik Capital Limited**

NARENDRA KUMAR SINGHAL
Digitally signed by NARENDRA KUMAR SINGHAL

Narendra Kumar Singhal
(Whole-time Director)
DIN: 10800406

Shubhangi Agarwal & Associates
Company Secretaries

SECRETARIAL COMPLIANCE REPORT
ADVIK CAPITAL LIMITED
FOR THE YEAR ENDED MARCH 31, 2026

The Members,
Advik Capital Limited
203, Pearl Best Height-2, Netaji Subhash Place,
Pitampura, New Delhi-110034

We, M/s. Shubhangi Agarwal & Associates have conducted the Secretarial Compliance Audit of the applicable SEBI Regulations and the circulars/ guidelines issued thereunder for the period ended March 31, 2026 of Advik Capital Limited ("the listed entity").

The audit was conducted in a manner that provided us a reasonable basis for evaluating the statutory compliances and expressing our opinion thereon.

We have examined:

a) all the documents and records made available to us, and explanation provided by the listed entity,
b) the filings/ submissions made by the listed entity to the stock exchanges,
c) website of the listed entity,
d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the period ended March 31, 2026 in respect of compliance with the provisions of:

a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.
b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; *****
e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021*****
f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; *****
g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; *****
h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
i) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;

***Not Applicable to the period under review as there is no such transaction.**

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Registered Office:
E 3/2, Block E 3,
Jhandewalan Extension,
Jhandewalan, New Delhi,
Delhi-110005

Contact:
Name: Shubhangi Agarwal
Mobile: +91 99 7199 2801 (India)
Phone: 011 4243 0303
Email:
shubhangiagarwal.cs@gmail.com

Bank details:
Bank Name: IDFC Bank
Account No.: 10009451008
IFSC Code: IDFB0020101
Branch: Barakhamba Road,

We hereby report that, during the review period the compliance status of listed entity is appended as below:

S. No.	Particulars	Compliance Status (Yes/ No/NA)	Observations/ Remarks by PCS
1.	**Secretarial Standards:** The Compliances of the Listed Entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable	Yes	Nil
2.	**Adoption and timely updation of the Policies:** • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI	Yes Yes	Nil Nil
3.	**Maintenance and disclosures on Website:** • The Listed Entity is maintaining a functional website • Timely dissemination of the documents/information under a separate section on the website • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of the website	Yes* Yes* Yes*	The company has been advised to timely upload the data on website as per Regulation 46 of SEBI (LODR) Regulations, 2015 Nil
4.	**Disqualification of Director:** None of the Director(s) of the Company are disqualified under Section 164 of the Companies Act, 2013 as confirmed by the Listed Entity	Yes	Nil
5.	**Details related to Subsidiaries of Listed Entities have been examined w.r.t.:** (a) identification of material subsidiary companies	Yes	Company has subsidiary company i.e. M/s. Advikca Finvest Limited, which is fall under the definition of material

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	(b) Disclosure requirement of material as well as other subsidiaries	Yes	subsidiary company. Nil
6	**Preservation of Documents:** The Listed Entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015	Yes	Nil
7	**Performance Evaluation:** The Listed Entity has conducted performance evaluation of the Board, independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations	Yes	Nil
8	**Related Party Transactions:** (a) The Listed Entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The Listed Entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ ratified/ rejected by\ the Audit Committee, in case no prior approval has been obtained.	Yes Yes	Nil Nil
9	**Disclosure of events or information:** The Listed Entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder except as provided under separate paragraph herein	Yes	Nil
10	**Prohibition of Insider Trading:** The Listed Entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	Yes	Nil
11	**Actions taken by SEBI or Stock Exchange(s), if any:** No Action(s) has been taken against the Listed Entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein	Yes	Nil
12	**Additional non-compliances, if any:** No additional non-compliance observed for any SEBI regulation/circular/guidance note etc. except as provided under separate paragraph herein.	Yes	Nil

* with some delays and omissions, which were advised for correction during the audit process

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Compliances related to resignation of Statutory Auditors from Listed Entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated October 18, 2019:

S. No.	Particulars	Compliance Status (Yes/ No/NA)	Observations/ Remarks by PCS
1	**Compliances with the following conditions while appointing/re-appointing an auditor**		
	i) If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or ii) If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or iii) If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.	NA	No instance of resignation of statutory auditors during the year under review
2	**Other conditions relating to resignation of Statutory auditor**		
	i) Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee: a) In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings. b) ln case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. ln cases where the proposed resignation is due to non receipt of information / explanation from the company, the auditor has informed the Audit committee the details of information / explanation sought and not provided by the management, as applicable. c) The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above communicate its views to management and the auditor. ii) Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI/ NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.	NA	No instance of resignation of statutory auditors during the year under review

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3	The Listed Entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure - A in SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019.	NA	No instance of resignation of statutory auditors during the year under review

Based on the above examination, we hereby report that, during the period under Review:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

S. No.	Compliance requirement (Regulations / circular/ guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of action i.e. Advisory/ Fine/ Show cause notice/ warnings etc.	Details of violations	Fine Amount	Observation/Remarks by PCS	Management Response	Remarks
1.	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Regulation 23(9) of SEBI (LODR) Regulations, 2015	Delay in filing	BSE Limited	SOP Fine levied in terms of SEBI Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024	Delay of 1 day in submission of disclosure of Related Party Transactions for the quarter ended September 30, 2025.	Rs. 5,000.	The non-compliance has been regularised by payment of applicable fine and completion of filing.	The Company has paid an aggregate amount of Rs. 5,000 and completed the filing.	Compliance completed
2.	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Regulation 31(1)(b) of SEBI (LODR) Regulations, 2015 read with Regulation 31(1)(c), wherever applicable	Delay in filing	BSE Limited	SOP Fine levied in terms of SEBI Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023, as amended.	Delay of 16 days in submission of Shareholding Pattern for the quarter ended June 30, 2025.	Rs. 2,000/- per day aggregating to Rs. 32,000/-	The non-compliance has been regularised by payment of applicable fine and subsequent filing.	The Company has paid the applicable SOP fine of Rs. 32,000/- and filed the Shareholding Pattern.	Compliance completed

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3.	Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018	Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 read with SEBI Circulars / Exchange directions, as applicable	Delay in filing	BSE Limited	Reminder / Advisory Communication	Delay of 8 days in submission of Reconciliation of Share Capital Audit Report for the quarter ended June 30, 2025.	Nil	The filing has been completed and no further action has been reported	The Company has filed the Reconciliation of Share Capital Audit Report with a delay of 8 days.	Compliance completed

b) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Compliance requirement (Regulations / circular/ guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of action i.e. Advisory/ Fine/ Show cause notice/ warnings etc.	Details of violations	Fine Amount	Observations/Remarks by PCS	Management Response	Remarks
1	Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011	Regulation 29(1) and Regulation 29(2) read with Regulation 29(3) of SEBI (SAST) Regulations, 2011	Delay in filing of disclosures	Securities and Exchange Board of India (SEBI)	Adjudication Order	Non-compliance with disclosure requirements under Regulation 29(1) and 29(2) read with Regulation 29(3) in the matter of open offer made by the Acquirers, namely Mr. Vikas Garg and Ms. Seema Garg, together with PAC Ms. Sukriti Garg.	Penalty of Rs. 13,00,000	As informed, the matter was carried in appeal and stands disposed in accordance with the order of the Hon'ble SAT.	The Company informed that an appeal was preferred before the Hon'ble Securities Appellate Tribunal (SAT), wherein relief was granted by waiver of 50% of the penalty and refund of Rs. 6,50,000.	Matter concluded pursuant to SAT Order.

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Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to certify based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For Shubhangi Agarwal & Associates
Company Secretaries

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Date: 2026.05.05 15:12:55 +05'30'

Shubhangi Agarwal
Proprietor
M. No.:12624; C.P. No.: 19144
UDIN: F012624H000279033
Peer Review Certificate No. 5970/2024

Place: New Delhi
Date: 05.05.2026